

Financial Relationship Identification and Mitigation

We ask for your help in protecting our learning environment from industry influence before you assume your role in the education. The ACCME Standards for Integrity and Independence (adopted by ACPE) require that we disqualify individuals who refuse to provide this information from involvement in the planning and implementation of accredited continuing education. Thank you for your diligence and assistance. If you have questions, please contact pharmacyce@belmont.edu. **This form is required from all persons in control of content for this accredited CE Activity.**

Title & Date of CE Activity _____

Name of Individual who has prospective role(s) in this CE activity _____

Identify all prospective role(s) Planner (planning committee; staff involved in choosing topics, faculty, or content expert) Moderator
 Preceptor Speaker/Teacher/Instructor/Faculty Content Specialist/Reviewer Author/Writer Other

PART 1. TO BE COMPLETED BY PLANNER, FACULTY, or OTHERS WHO MAY CONTROL EDUCATIONAL CONTENT

Please disclose all financial relationships that you have had in the past 24 months with ineligible companies (see definition below). For each financial relationship, enter the name of the ineligible company and the nature of the financial relationship(s). There is no minimum financial threshold; we ask that you disclose all financial relationship, regardless of the amount, with ineligible companies. You should disclose all financial relationships regardless of the potential relevance of each relationship to the education.

Enter Name of Ineligible Company	Enter Nature of Financial Relationship	Has the Relationship Ended?
An ineligible company is any entity whose primary business is producing, marketing, selling, re-selling, or distributing healthcare products used by or on patients. For specific examples of ineligible companies, visit www.accme.org/standards .	Examples include employee, researcher, consultant, advisor, speaker, independent contractor (including contracted research), royalties or patent beneficiary, executive role, and ownership interest. Individual stocks and stock options should be disclosed; diversified mutual funds do not need to be disclosed. Research funding from ineligible companies should be disclosed by the principal or named investigator even if that individual's institution receives the research grant and manages the funds.	If the financial relationship existed during the last 24 months but has now ended, please check the box in this column. This will help the CPE Administrator determine if any mitigation steps need to be taken.
<i>Example: ABC Company</i>	<i>Example: Consultant</i>	<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

In the past 24 months, I have not had any financial relationship with any ineligible companies.

I attest that the above information is correct as of this date of submission.

Signature: _____ Date: _____

PART 2. TO BE COMPLETED BY CPE ADMINISTRATOR

- Review the information above about financial relationships, and **exclude owners or employees of ineligible companies** from participating as planners or faculty **unless** the educational activity meets one of the exceptions listed below this box.
- Determine **relevant financial relationships**. Financial relationships are relevant if the following three conditions are met for the prospective person who will control content of the education:
 - A financial relationship, **in any amount**, exists between the person in control of content and an ineligible company.
 - The financial relationship existed during the past **24 months**.
 - The content of the education is related to the products of an ineligible company with whom the person has a financial relationship.
- Is mitigation needed? Yes (continue to #4 below) No. Rationale: _____
- Choose a **mitigation strategy** appropriate to the person's role, and **implement** that strategy before the person assumes their role.
Mitigation steps for planners (choose at least one)
 - Divest the financial relationship
 - Recusal from controlling aspects of planning and content with which there is a financial relationship
 - Peer review of planning decisions by persons without relevant financial relationships
 - Use other methods (please describe): _____**Mitigation steps for speakers and others** (choose at least one)
 - Divest the financial relationship
 - Peer review of content by persons without relevant financial relationships
 - Attest that clinical recommendations are evidence-based and free of commercial bias (e.g., peer-reviewed literature, adhering to evidence-based practice guidelines)
 - Assign an in-room monitor to observe and ensure no bias is presented
 - Use other methods (please describe): _____

Signature: _____ Date: _____

Exceptions: 1) content of activity is not related to the business lines or products of their employer/company; 2) content of the activity is limited to basic science research, and 3) they are participating as technicians to teach the safe and proper use of medical devices and do not recommend whether or when a device is used.